

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new non-provisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

**990076C**

Total Pages

First Named Inventor or Application Identifier

**Masamichi YANO, and Kazuhiko WATANABE**

Check Box, if applicable ☐ Duplicate

Express Mail Label No.

APPLICATION ELEMENTS For: **EXPANSION VALVE**

ADDRESS TO: Commissioner for Patents  
MAIL STOP BOX PATENT APPLICATIONS  
Alexandria, VA. 22313-1450

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages **[22]**

3. ☒ Drawing(s) (35 USC 113) Total Sheets **[17]**

4. ☒ Oath or Declaration Total Pages **[2]**

a. ☐ Newly executed (original)

b. ☒ Copy from prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed).

i. ☐ Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☒ Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is  
supplied under Box 4b, is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment to FUJIKOKI CORPORATION, Tokyo, Japan in the prior Application Serial No. 09/246,157,  
filed on February 8, 1999 has been recorded at Reel 9767, Frame 0269 on February 8, 1999.

**Please publish the assignee data with the application.**

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

22151 U.S. PTO  
10/632804  
08/04/03

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10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations (18 References)
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document
- a. Priority of Japanese application nos. 10-0688352 and 10-231452 filed on March 18, 1998 and August 18, 1998 respectively are claimed under 35 U.S.C. 119. The certified copies have been filed in prior parent application Serial No. 09/861,516 and grandparent application Serial No. 09/246,157, now U.S. Patent No. 6,241,157.
16. ☐ Other \_\_\_\_\_
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 09/861,516

FEE TRANSMITTAL The filing fee is calculated below	Number Filed	Number Extra	Rate	Basic Fee
Total Claims	4 - 20		x \$18.00	
Independent Claims	3 - 3		x \$84.00	
Multiple Dependent Claims			\$280.00	
Filing Fee				750.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				750.00

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☒ A check in the amount of \$ **750.00** is enclosed to cover the filing fee of \$ **750.00**.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**.

**18. CORRESPONDENCE ADDRESS:**

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**23850**

PATENT TRADEMARK OFFICE

Typed or Printed Name **John F. Carney** Reg. No. **20,276**

Signature

Date: **August 4, 2003**